

UNITED STATES DISTRICT COURT  
EASTERN DISTRICT OF WASHINGTON

TODD M. CHISM, individually,  
  
Plaintiff,

v.

STATE OF WASHINGTON, by and  
through the WASHINGTON STATE  
PATROL; GREG RIDDELL,  
individually; and GREG  
BIRKELAND, individually,  
  
Defendants.

NO: 12-CV-0386-TOR

ORDER DENYING PLAINTIFF'S  
MOTION FOR SUMMARY  
JUDGMENT

BEFORE THE COURT is Plaintiff's Motion for Summary Judgment Finding Excessive Use of Force in Violation of 42 U.S.C. § 1983 as a Matter of Law (ECF No. 14). This matter was heard with oral argument on June 5, 2013. Susan C. Nelson and Robert A. Dunn appeared on behalf of the Plaintiff. Carl P. Warring appeared on behalf of Defendants. The Court has reviewed the briefing and the record and files herein, and is fully informed.

## BACKGROUND

Plaintiff Todd M. Chism claims that Defendants are liable under 42 U.S.C. § 1983 for constitutional violations including the use of excessive force, unlawful arrest, and the denial of due process; as well as claims of malicious prosecution, vicarious liability, assault, “infliction of emotional distress,” negligence, and outrage. *See* ECF No. 1. Presently before the Court is Plaintiff’s motion for summary judgment finding excessive use of force in violation of 42 U.S.C. § 1983 as a matter of law, primarily based on the legal doctrine of collateral estoppel, also referred to as issue preclusion.

## FACTS

On April 6, 2010, the Washington State Patrol (“WSP”) received a report regarding a full-size pickup truck off the roadway on Highway 291, directly across the highway from Plaintiff’s home. Plaintiff’s Statement of Material Facts Pursuant to LR 56.1, ECF No.15 (“PSMF”) at ¶¶ 6, 9. The Stevens County Fire Department arrived on scene first and set up a scene control. Defendants’ Response Statement of Material Facts, ECF No. 19 (“Def. Response”) at ¶ 6. It is undisputed that Plaintiff was observed attempting to dig his vehicle out of the sand. Defendant WSP Trooper Greg Riddell (“Riddell”) arrived on scene. Shortly thereafter Defendant WSP Trooper Greg Birkeland (“Birkeland”) also arrived on scene, and the Troopers released the Fire Department from traffic control duties.

1 Def. Response at ¶ 15. Trooper Riddell asked Plaintiff to engage in field sobriety  
2 tests. The events that followed are heavily disputed by the parties.

3 Plaintiff recalls that upon arriving on the scene, Trooper Birkeland  
4 announced that “he knew who Plaintiff Chism was.” PSMF at ¶ 14. Plaintiff  
5 believed that Riddell and Birkeland had a vendetta against him due to false child  
6 pornography charges brought against him in 2008 and the subsequent lawsuit  
7 brought by Plaintiff against the WSP in 2009. *Id.* at ¶¶ 13-15. According to  
8 Plaintiff, at this point both Defendant Troopers began threatening Plaintiff with  
9 arrest, and then shooting him with tasers in both “dart and drive-stun mode” at  
10 least seven times, and “brutally” beating Plaintiff including optical nerve strikes  
11 and choking. *Id.* at ¶¶ 14-19. Plaintiff allegedly suffered injuries including  
12 lacerations on his face and neck, swollen eye and jaw, chipped tooth, and bruised  
13 ears. *Id.* at ¶¶ 18-19.

14 Defendants maintain that Plaintiff smelled of alcohol, was unable to respond  
15 to questions, and admitted that he had been drinking but claimed it had been four  
16 hours since his last drink. Def. Response at ¶¶ 10-11, 19. Birkeland testified that  
17 he asked Plaintiff if they had met before because Plaintiff’s name was familiar. *Id.*  
18 at ¶ 20. According to Defendants, at this point Plaintiff refused to continue with  
19 the field sobriety tests, demanded to know why Birkeland wanted to know his  
20 name, and became agitated with rigid and clenched fists. *Id.* at ¶¶ 23-25.

1 Birkeland recalls that he told Plaintiff he was under arrest and to turn around, to  
2 which Plaintiff originally complied, but then spun around and pulled free. *Id.* at ¶¶  
3 26-27. Riddell testified that he drew his taser and ordered Plaintiff to comply, but  
4 Plaintiff “started toward the Troopers again” so he deployed his taser. The  
5 Troopers observed the taser had no effect, so Birkeland instructed Plaintiff to  
6 comply and deployed his taser. According to Defendants, they attempted to cuff  
7 Plaintiff and used additional taser deployments to gain control over Plaintiff while  
8 he struggled, kicked, and grabbed at their tasers, handcuffs, and gun belts. *Id.* at ¶¶  
9 28-36. This altercation allegedly led to Plaintiff breaking Trooper Birkeland’s  
10 thumb. *Id.* at ¶ 38. After Plaintiff was placed in handcuffs, Defendants contend  
11 that they flagged down a fire truck to attend to Plaintiff’s injuries sustained in the  
12 altercation, but medics could not attend to Plaintiff because he was still verbally  
13 combative with the Troopers. *Id.* at ¶¶ 41-42. Plaintiff allegedly refused to remain  
14 seated, charged the Troopers again while handcuffed, and was tased again by  
15 Riddell. At this point, Plaintiff was placed in leg restraints, during which additional  
16 tasers were deployed as a “pain compliance technique.” *Id.* at ¶¶ 44-49. Plaintiff  
17 was then transported to Mt. Carmel Hospital for treatment.

18 It is undisputed that Plaintiff was charged with assault, disarming an officer,  
19 resisting arrest, and driving under the influence. In August 2011 a Stevens County  
20 jury acquitted Plaintiff of all criminal charges related to the April 2010 incident.

1 At the close of the criminal proceedings, the jury was given a special verdict form  
2 and instructed that if Plaintiff's use of force was justified, he would be reimbursed  
3 by the State for his legal fees and costs. The jury was instructed to refer to  
4 "Instruction 24" which defined Plaintiff's defense of lawful use of force as  
5 follows: "[a] person may use force to resist an arrest by someone known by the  
6 person to be a law enforcement officer only if the person being arrested is in actual  
7 and imminent danger of serious injury from an officer's use of excessive force."  
8 ECF No. 17-7. The jury found by a preponderance of the evidence that Plaintiff's  
9 use of force was justified, and the State was ordered to pay Plaintiff's legal  
10 expenses.

11 In May 2012, Plaintiff filed a lawsuit in Spokane County Superior Court  
12 alleging that, among other claims, Defendants are liable under 42 U.S.C. § 1983  
13 for constitutional violations including the use of excessive. *See* ECF No. 1.  
14 Defendants properly removed the case to this Court in June 2012.

## 15 DISCUSSION

### 16 A. Standard of Review

17 The Court may grant summary judgment in favor of a moving party who  
18 demonstrates "that there is no genuine dispute as to any material fact and that the  
19 movant is entitled to judgment as a matter of law." Fed. R. Civ. P. 56(a). In ruling  
20 on a motion for summary judgment, the court must only consider admissible

1 evidence. *Orr v. Bank of America, NT & SA*, 285 F.3d 764 (9<sup>th</sup> Cir. 2002). The  
2 party moving for summary judgment bears the initial burden of showing the  
3 absence of any genuine issues of material fact. *Celotex Corp. v. Catrett*, 477 U.S.  
4 317, 323 (1986). The burden then shifts to the non-moving party to identify  
5 specific facts showing there is a genuine issue of material fact. *See Anderson v.*  
6 *Liberty Lobby, Inc.*, 477 U.S. 242, 256 (1986). “The mere existence of a scintilla  
7 of evidence in support of the plaintiff’s position will be insufficient; there must be  
8 evidence on which the jury could reasonably find for the plaintiff.” *Id.* at 252.

9 For purposes of summary judgment, a fact is “material” if it might affect the  
10 outcome of the suit under the governing law. *Id.* at 248. Further, a material fact is  
11 “genuine” only where the evidence is such that a reasonable jury could find in  
12 favor of the non-moving party. *Id.* The court views the facts, and all rational  
13 inferences therefrom, in the light most favorable to the non-moving party. *Scott v.*  
14 *Harris*, 550 U.S. 372, 378 (2007).

#### 15 **B. Excessive Force under 42 U.S.C. § 1983**

16 A cause of action pursuant to 42 U.S.C. § 1983 may be maintained “against  
17 any person acting under the color of law who deprives another ‘of any rights,  
18 privileges, or immunities secured by the Constitution and laws’ of the United  
19 States.” *Southern Cal. Gas Co., v. City of Santa Ana*, 336 F.3d 885 (9<sup>th</sup> Cir. 2003)  
20 (citing 42 U.S.C. § 1983). The rights guaranteed by § 1983 are “liberally and

1 beneficently construed.” *Dennis v. Higgins*, 498 U.S. 439, 443 (1991). Plaintiff  
2 alleges constitutional violations including, but not limited to, the use of excessive  
3 force.

4 In the Ninth Circuit, “[w]e analyze all claims of excessive force that arise  
5 during or before arrest under the Fourth Amendment’s reasonableness standard, as  
6 guided by the Supreme Court’s decision in *Graham v. Connor*, 490 U.S. 386  
7 (1989).” *Coles v. Eagle*, 704 F.3d 624, 627 (9th Cir. 2012). “[T]he  
8 ‘reasonableness’ inquiry in an excessive force case is an objective one: the  
9 question is whether the officers’ actions are ‘objectively reasonable’ in light of the  
10 facts and circumstances confronting them, without regard to their underlying intent  
11 or motivation.” *Graham*, 490 U.S. at 397. Moreover, “the ‘reasonableness’ of a  
12 particular use of force must be judged from the perspective of a reasonable officer  
13 on the scene, rather than with the 20/20 vision of hindsight,” and “allow for the  
14 fact that police officers are often forced to make split second judgments – in  
15 circumstances that are tense, uncertain, and rapidly evolving – about the amount of  
16 force that is necessary in a particular situation.” *Id.* at 396-397.

17 1. State of Washington and Washington State Patrol as Defendants

18 As an initial matter, the Court agrees with Defendants that states and state  
19 agencies are not susceptible to suits under 42 U.S.C. § 1983. *See Will v. Michigan*  
20 *Dept. of State Police*, 491 U.S. 58, 71 (1989)(holding neither a State nor its

1 officials acting in their official capacities are “persons” under § 1983); *Maldonado*  
2 *v. Harris*, 370, F.3d 945, 951 (9th Cir. 2004)(state agency not amenable to suit  
3 under § 1983). Defendant Washington State Patrol is an agency of the State of  
4 Washington. *See* Wash. Rev. Code § 43.43.010. Therefore, because neither the  
5 Washington State Patrol, nor the State of Washington, are “persons” within the  
6 meaning of § 1983, the Court must deny Plaintiff’s motion for summary judgment  
7 as to these Defendants.<sup>1</sup>

## 8 2. Collateral Estoppel (Issue Preclusion)

9 “Under collateral estoppel, once a court has decided an issue of fact or law  
10 necessary to its judgment, that decision may preclude relitigation of the issue in a  
11 suit on a different cause of action involving a party to the first case.” *Allen v.*  
12 *McCurry*, 449 U.S. 90, 94 (1980). The purpose of collateral estoppel is to “prevent  
13 litigation of already determined causes, curtail multiplicity of actions, prevent  
14 harassment in the courts, inconvenience to the litigants, and judicial economy.”  
15 *State v. Dupard*, 93 Wash.2d 268, 272 (1980). Application of collateral estoppel to  
16 a state court judgment in a federal civil rights action is governed by state law. *See*

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17 <sup>1</sup> At oral argument, Plaintiff argued that the State of Washington was somehow  
18 vicariously liable for the actions of its officers under § 1983. However, it is well-  
19 established that vicarious liability is inapplicable to suits under § 1983. *See*  
20 *Ashcroft v. Iqbal*, 556 U.S. 662, 676 (2009).



1 *Ayers v. City of Richmond*, 895 F.2d 1267 (9th Cir. 1990). The doctrine may be  
2 applied in a civil action in which a party seeks to retry issues resolved in a previous  
3 criminal case. *See Hanson v. City of Snohomish*, 121 Wash.2d 552, 561 (1993).  
4 Under Washington law, the burden is on the party asserting collateral estoppel to  
5 show that:

6 (1) the issue decided in the prior adjudication is identical with the one  
7 presented in the second action; (2) the prior adjudication must have ended in  
8 a final judgment on the merits; (3) the party against whom the plea is  
asserted was a party or in privity with the party to the prior adjudication; and  
(4) application of the doctrine does not work an injustice.

9 *Thompson v. Dep't of Licensing*, 138 Wash.2d 783, 790 (1999)(citing *Nielson v.*  
10 *Spanaway Gen. Med. Clinic, Inc.*, 135 Wash.2d 255, 262-63 (1998)); *see also*  
11 *Lopez-Vasquez v. Dep't of Labor and Indus. Of State of Wash.*, 168 Wash. App.  
12 341, 345 (Ct. App. 2012)(noting the burden is on party asserting collateral estoppel  
13 and the failure to establish any one element is fatal to the claim).

14 As an initial matter, the Court notes that Plaintiff is asserting the “offensive”  
15 form of collateral estoppel seeking to bar Defendants from re-litigating the  
16 excessive force issue he alleges was previously litigated in his state criminal  
17 proceedings; as opposed to the more common scenario in which a defendant estops  
18 a plaintiff from re-litigating the same issue in a subsequent cause of action. *See*  
19 *e.g., Parklane Hosiery Co., Inc. v. Shore*, 439 U.S. 322, 329 (1979); *Mathioudakis*  
20 *v. Fleming*, 140 Wash. App. 247, 251 n.2 (Ct. App. 2007)(noting collateral

1 estoppel can operate offensively). The Supreme Court has allowed for broad  
2 discretion in applying offensive collateral estoppel, however, the Court cautioned  
3 that a trial judge should not allow the use of offensive estoppel if its application is  
4 unfair to the defendant. *See id.* at 329-31. Further, the doctrine of collateral  
5 estoppel “is not to be applied with a ‘hypertechnical’ approach but rather, ‘with  
6 realism and rationality.’” *State v. Harrison*, 148 Wash.2d 550, 561 (2003)(quoting  
7 *Ashe v. Swenson*, 397 U.S. 436, 444 (1970)).

8 Here, Plaintiff argues that he has met all of the required elements for  
9 collateral estoppel because the prior criminal proceeding “unequivocally decided  
10 the issue of Defendants’ excessive force against Plaintiff.” ECF No. 14 at 12. In  
11 the criminal proceeding, Plaintiff was ultimately acquitted on all charges including  
12 assault and disarming a police officer. ECF No. 17-3. The jury received  
13 “Instruction 24” which defined Plaintiff’s defense of lawful use of force: “[a]  
14 person may use force to resist an arrest by someone known by the person to be a  
15 law enforcement officer only if the person being arrested is in actual and imminent  
16 danger of serious injury from an officer’s use of excessive force.” ECF No. 17-7.  
17 Additionally, at the close of the criminal trial the jury was asked to complete a  
18 special verdict form to determine whether Plaintiff’s use of force was justified,  
19 which would require the State to pay his legal expenses. ECF No. 17-8. The jury  
20 was instructed to use the same definition of lawful use of force as in Instruction 24.

1 *See id.* The jury found by a preponderance of the evidence that Plaintiff's use of  
2 force was justified, and Plaintiff therefore contends that the jury "necessarily  
3 concluded a preponderance of the evidence supported the conclusion that  
4 Defendant Troopers Riddell and Birkeland utilized excessive force...." ECF No.  
5 14 at 12.

6 For the reasons stated below, the Court declines to apply collateral estoppel  
7 and find excessive force in violation of § 1983 as a matter of law. The Court will  
8 address each element of collateral estoppel in turn.<sup>2</sup>

9 **i. Identity**

10 The party asserting collateral estoppel must establish that the issue decided  
11 in the prior adjudication is identical to the one presented in the subsequent action,  
12 and "where the controlling facts and applicable legal rules remain unchanged."

13 *Lemond v. State, Dept. of Licensing*, 143 Wash. App. 797, 805 (Ct. App.  
14 2008)(citing *Standlee v. Smith*, 83 Wash.2d 405, 408 (1974)). "Further, issue  
15 preclusion is only appropriate if the issue raised in the second case 'involves

16 <sup>2</sup> Defendants do not challenge whether the prior adjudication ended in a final  
17 judgment on the merits. However, in light of the Court's finding that Plaintiff fails  
18 to establish the three remaining criteria, it is not necessary for the Court to address  
19 this factor. *See Lopez-Vasquez*, 168 Wash. App. at 345 (the failure to establish any  
20 one element is fatal to a claim of collateral estoppel).

1 substantially the same bundle of legal principles that contributed to the rendering  
2 of the first judgment,' even if the facts and the issue are identical." *Id.*

3 Plaintiff argues that the "jury could not have found Plaintiff's force justified  
4 and held Defendant State liable absent a finding that Defendant Troopers engaged  
5 in excessive force." ECF No. 31 at 3. In addition to the jury's finding on the  
6 special verdict form that Plaintiff's use of force was justified, Plaintiff cites to  
7 extensive testimony and closing argument from the criminal trial that addressed the  
8 issue of use of force issue, including the State's use of force expert. See ECF Nos.  
9 17-1, 17-4, 17-5, 32-4. Plaintiff places special emphasis on the trial court's ruling  
10 that the State's use of force expert be allowed to testify because "it would be part  
11 of the substantive evidence here to prove the state's case," including training  
12 provided to Defendants on how "to make an objectively reasonable decision"  
13 under the totality of the circumstances." ECF No.32-2 at 20; ECF No. 32-3. Thus,  
14 Plaintiff contends that the challenges, evidence, and argument Defendants will  
15 offer to defend against the excessive force claims in the instant case are identical to  
16 those offered in trial court. ECF No. 31 at 4 (*citing Hanson*, 121 Wash.2d at 562-  
17 63).

18 Defendants argue there are distinct differences between the jury instruction  
19 and the special verdict form in the criminal trial against Plaintiff, and the standard  
20 for finding excessive force under the Fourth Amendment as Plaintiff is now

1 required to prove in the pending § 1983 claim. The Court agrees. The applicable  
2 legal rule in the prior criminal proceeding was the definition of lawful force to  
3 resist arrest. While the definition of “lawful force” certainly included a  
4 determination of whether Plaintiff was “in actual imminent danger of serious injury  
5 from an officer’s use of excessive force;” neither Instruction 24, nor the special  
6 verdict form, instructed the jury in the criminal case as to what constitutes  
7 “excessive force” or what factors should be considered in determining whether  
8 excessive force was applied by Defendants. The Court does not dispute Plaintiff’s  
9 suggestion the “issue of use of force” was “extensively” litigated during the  
10 previous trial, or that the evidence offered in both trials will likely be similar.  
11 However, the Court finds no evidence that the standard outlined by the Supreme  
12 Court for determining whether Defendants used excessive force under the Fourth  
13 Amendment was addressed during the criminal trial, much less that the jury was  
14 advised of this standard when deciding the issue of lawful force. *See Graham*, 490  
15 U.S. at 396-97 (outlining four factors for balancing the nature of the intrusion on  
16 an individual’s Fourth Amendment rights against countervailing governmental  
17 interests). Thus, the applicable legal rules between the prior and subsequent  
18 actions do not remain unchanged. *See Lemond*, 143 Wash. App. at 805. The Court  
19 finds the identity of issues element is not met for the purposes of collateral  
20 estoppel.

1                   **ii.     Privity**

2           Plaintiff bears the burden of establishing that Defendants Birkeland and  
3 Riddell were parties or in privity with a party in the criminal proceedings. *See*  
4 *Thompson*, 138 Wash.2d at 790. “A person who was not a party to a suit generally  
5 has not had a ‘full and fair opportunity to litigate’ the claims and issues settled in  
6 that suit.” *Taylor v. Sturgell*, 553 U.S. 880, 892 (2008). Thus, as a general rule,  
7 “one is not bound by a judgment in personam in a litigation in which he is not  
8 designated as a party or to which he has not been made a party by service of  
9 process.” *Hansberry v. Lee*, 311 U.S. 32, 40 (1940). However, “courts are no  
10 longer bound by rigid definitions of the parties or their privies for the purposes of  
11 applying collateral estoppel....” *Jackson v. Hayakawa*, 605 F.2d 1121, 1126 (9th  
12 Cir. 1979). Rather, courts may still apply the doctrine if a non-party had a  
13 sufficient interest and participated in the prior litigation. *See id.*; *see also Mut. of*  
14 *Enumclaw Ins. Co. v. State Farm Mut. Ins. Co.*, 37 Wash. App. 690, 693  
15 (1984)(finding privity where a person who is not a party “controls or substantially  
16 participates in the control of the presentation on behalf of a party.”).

17           Plaintiff argues that the Defendants Birkeland and Riddell are in privity with  
18 the State of Washington, as agents of the State, and due to their extensive  
19 testimony during the criminal trial regarding their use of force. ECF No. 14 at 13.  
20 However, Washington law expressly “forbids the offensive use of collateral

1 estoppel against an agent when the action serving as the basis for the estoppel  
2 involved the principal but not the agent.” *Everett v. Perez*, 78 F. Supp. 2d 1134,  
3 1138 (E.D. Wash. 1999)(E.D. Wash. 1999)(citing *Ward v. Torjussen*, 52 Wash.  
4 App. 280, 283 (Ct. App. 1988)). Here, the State was a party to the criminal case  
5 but Defendant Birkeland and Riddell were not. Thus, collateral estoppel may not  
6 be used offensively by Plaintiff against these Defendants. Further, as in *Everett*,  
7 even if the Defendants Birkeland and Riddell were the “sole source of all the  
8 prosecution’s evidence, there was no privity because they did not prosecute the  
9 [plaintiff]” and Plaintiff has not shown that they controlled or substantially  
10 participated in the litigation by making any “decisions about witnesses and  
11 evidence to actually present, or any of the other key legal and strategic  
12 decisions....” *Id.* at 1138-39; *see also Davis v. Eide*, 439 F.2d 1077, 1078 (9th Cir.  
13 1971)(finding no privity because defendants were city police officers not employed  
14 by the state; and the officers “had no measure of control whatsoever over the  
15 criminal proceeding and no direct individual personal interest in its outcome.”).  
16 For all of these reasons, the Court finds Defendants Birkeland and Riddell were not  
17 parties or in privity with the State of Washington in the underlying criminal trial  
18 for the purposes of offensive collateral estoppel.

19 ///

20 ///

1                   **iii. Injustice**

2           The Court has already found that Plaintiff failed to establish identity of  
3 issues and privity; two elements necessary for the Court to apply collateral  
4 estoppel. These findings are sufficient to end the Court's analysis, as the failure to  
5 establish even one element is fatal to Plaintiff's claim. *See Lopez-Vasquez*, 168  
6 Wash. App. at 345. However, the Court briefly notes that Plaintiff also fails to  
7 show that the application of collateral estoppel will not work an injustice to the  
8 Defendants. *See Thompson*, 138 Wash.2d at 790, 794-96. This component of the  
9 collateral estoppel doctrine centers on the procedural fairness of the hearing and  
10 whether the parties received a "full and fair hearing on the issue in question." *Id.*  
11 at 795-96; *see also State Farm Mut. Auto. Ins. Co. v. Avery*, 114 Wash. App. 299,  
12 304-306 (Ct. App. 2002)(focusing on procedural safeguards including the  
13 opportunity to appeal) . Thus, Washington courts often consider whether "the  
14 party against whom the estoppel is asserted [had] interests at stake that would call  
15 for a full litigational effort." *Hadley v. Maxwell*, 144 Wash.2d 306, 312  
16 (2001)(noting that collateral estoppel is an equitable doctrine "that will not be  
17 applied mechanically to work an injustice"); *see also Reninger v. Dep't of*  
18 *Corrections*, 134 Wash.2d 437, 453-54 (1998)(focusing on whether "sufficient  
19 incentive" existed for the concerned party to "litigate vigorously" at the underlying  
20 proceeding, such as great disparity of relief between the first and second action).



1 Plaintiffs argue that there is no injustice in this case because the issue of use  
2 of force was submitted to the jury and “resulted in a finding that Defendants used  
3 unlawful excessive force against Plaintiff Chism.” ECF No. 14 at 14. Defendants  
4 contend that Defendant Riddell and Defendant Birkeland have not had a full and  
5 fair opportunity to defend themselves against Plaintiff’s excessive force claim. For  
6 largely the same reasons outlined above, the Court agrees. First, the State had  
7 minimal incentive to litigate the special verdict as it was limited to determining if  
8 Plaintiff had a right to be reimbursed for his defense costs as compared to the  
9 individual Defendants in the instant case facing possible compensatory damages,  
10 punitive damages, and attorney fees. Second, the jury was never advised of the  
11 framework for determining whether Defendant’s actions rose to the level of  
12 excessive force under the Fourth Amendment, thus denying Defendants the  
13 opportunity fully and fairly litigate this issue in the underlying criminal  
14 proceeding. Third, Plaintiff has not established that Defendants Riddell and  
15 Birkeland had any direct personal interest or control over the criminal proceeding.  
16 *See Everett*, 78 F. Supp. 2d at 1139-40. Finally, Defendants Riddell and Birkeland  
17 had no opportunity to appeal. *See State Farm*, 114 Wash. App. at 306. For all of  
18 these reasons, the Court finds the application of collateral estoppel in this case  
19 would work an injustice on Defendants Riddell and Birkeland as they would be  
20

1 denied the opportunity for a full and fair hearing on the § 1983 excessive force  
2 claim asserted by Plaintiff.

3           3. Excessive Force as a Matter of Law

4           In the alternative to his collateral estoppel claim, Plaintiff argues that  
5 Defendants engaged in excessive force as a matter of law. Determining whether an  
6 officer's force was excessive or reasonable "requires a careful balancing of 'the  
7 nature and quality of the intrusion on the individual's Fourth Amendment interests'  
8 against the countervailing governmental interests at stake." *Graham*, 490 U.S. at  
9 396. When assessing the governmental interests at stake under *Graham*, the court  
10 should pay careful attention to several factors, including: (1) the severity of the  
11 crime, (2) whether the suspect poses an immediate threat to the safety of the  
12 officers and others, and (3) whether he is actively resisting arrest or attempting to  
13 evade arrest by flight. *Id.*; see also *Mattos v. Agarano*, 661 F.3d 433, 441 (9th Cir.  
14 2011) (the most important factor is whether the suspect poses an immediate threat  
15 to the safety of the officers or others). However, these factors are not exclusive  
16 and must be considered in light of the totality of circumstances in a particular case.  
17 See *Franklin v. Foxworth*, 31 F.3d 873, 876 (9th Cir. 1994). For instance, courts  
18 may also consider "the availability of alternative methods of capturing or subduing  
19 the suspect." *Smith v. City of Hemet*, 394 F.3d 689, 701 (9th Cir. 2005). The  
20 Ninth Circuit has noted that this balancing often requires the finder of fact to weigh

1 disputed factual assertions and thus summary judgment on excessive force cases  
2 should be granted “sparingly.” *Lolli v. County of Orange*, 351 F.3d 410, 415-16  
3 (9th Cir. 2003).

4 Perhaps not surprisingly, Plaintiff focuses almost entirely on the quantum of  
5 force allegedly used by Defendants, including but not limited to: multiple uses of a  
6 taser in both dart-mode and drive-stun mode, temple strikes, a choke hold, and  
7 total limb control. ECF No. 14 at 15-17. However, while Plaintiff briefly  
8 mentions that the use of a taser “must be justified by a strong government interest,”  
9 he fails to conduct any analysis of said government interest under the *Graham*  
10 factors. Most glaringly, Plaintiff fails to address Defendants’ version of the facts  
11 indicating that Plaintiff posed an immediate threat to the safety of the officers and  
12 actively resisted arrest. *See* ECF No. 19 at 4-7; *Graham*, 490 U.S. at 396. Thus,  
13 the Court cannot find as a matter of law that the force applied by Defendants  
14 Riddell and Birkeland was objectively unreasonable under the totality of the  
15 circumstances in this case. In the light most favorable to the non-moving party,  
16 genuine issues of material fact remain as to whether Defendants used excessive  
17 force in violation of § 1983, and this matter is best left to the trier of fact.

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1 **ACCORDINGLY, IT IS HEREBY ORDERED:**

2 1. Plaintiff's Motion for Summary Judgment Finding Excessive Use of  
3 Force in Violation of 42 U.S.C. § 1983 as a Matter of Law (ECF No. 14)  
4 is **DENIED**.

5 The District Court Executive is hereby directed to enter this Order and  
6 provide copies to counsel.

7 **DATED** June 7, 2013.



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A handwritten signature in blue ink that reads "Thomas O. Rice".

THOMAS O. RICE  
United States District Judge